

## EVENTS CODE OF CONDUCT<sup>1</sup>

The Geological Society of America (GSA) offers a variety of meetings, events, and activities (referred to collectively as “**GSA Events**” defined below) that give participants dynamic opportunities to learn, grow professionally, and exchange ideas. GSA is committed to fostering a professional, respectful, inclusive environment at all GSA Events, where all participants can participate fully in an atmosphere that is free of harassment and discrimination based on any identity-based factors.

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### A. Applicability and Definitions

This Events Code of Conduct (the “Events Code”) applies to all “**GSA Events**,” defined broadly as any in-person or online GSA-sponsored or -organized meetings or gatherings, wherever located, including but not limited to annual and section meetings, conferences, workshops, field trips, short courses, mentorships, retreats, GSA business meetings involving elected and appointed volunteer leaders and committee members, and activities associated with, in the general time frame of such GSA-sponsored or -organized meetings or gatherings.

This Events Code applies to all “**Participants**” in GSA Events, meaning organizers, attendees, speakers, session chairs, individuals submitting abstracts, guests, volunteers, exhibitors, GSA staff, service providers, and anyone else in attendance.

“**RISE**” stands for *Respectful Inclusive Scientific Events*. GSA typically displays RISE signage at GSA Events to reinforce this Events Code and promote a positive, professional climate.

“**RISE Liaisons**” are trained GSA staff and volunteers, who attend annual and section meetings, and are available in case Participants want to speak with them about any conduct concerns. RISE Liaisons typically wear RISE pins so they are easy to find.

“**GSA Leaders**” have decision-making authority under this Events Code. For the purpose of this policy, they are GSA’s Executive Director and President.

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<sup>1</sup> Approved by GSA Council on 25 September 2016, Updated in May 2019, 2021, 2022, and 2023. This policy is based in part on a model policy created by EducationCounsel LLC and is subject to a license agreement ([https://societiesconsortium.com/wp-content/uploads/2019/07/4-2-19-Members-License.final\\_.pdf](https://societiesconsortium.com/wp-content/uploads/2019/07/4-2-19-Members-License.final_.pdf)) requiring the following attribution: © American Association for the Advancement of Science for the benefit of and sponsored by the Societies Consortium on Sexual Harassment In STEMM (<https://societiesconsortium.com/>); original created by EducationCounsel LLC.

In addition to adhering to this Events Code, participants must self-certify that they are not on the [OFAC sanctioned list](#), as determined and provided by the Office of Foreign Assets Control (OFAC) of the U.S. Department of the Treasury, which administers and enforces economic trade and sanctions based on US foreign policy and national security, and with which GSA fully complies. And, all GSA Members must comply with GSA's [Code of Ethics & Professional Conduct](#) in all of their professional activities regardless of location.

## B. Conduct Requirements for All Participants

GSA expects all Participants to comply with the letter and spirit of this Events Code. GSA Leaders reserve the right to enforce this Events Code against any Participant found to have violated GSA’s commitment to a professional, respectful, inclusive environment even if a specific behavior is not listed below.

DO	DO NOT
<ul style="list-style-type: none"> <li>• <b>Show respect and consideration</b> for all people, and do not dominate discussions;</li> <li>• <b>Listen to others.</b> Make room for a diversity of voices in group discussions, on panels, and the like without pressuring those who choose not to speak;</li> <li>• <b>Be collegial and collaborative.</b> Be mindful of your tone and the potential impact your position, experience, and/or privilege may have on others;</li> <li>• <b>Show that you value differing perspectives.</b> Communicate openly and civilly – critique ideas, not people;</li> <li>• <b>Be inclusive</b> and intentional about welcoming a diversity of individuals and their identities when networking, organizing panels, leading sessions, or inviting others to share ideas;</li> <li>• <b>Honor presenters’ requests NOT to take pictures or recordings.</b> It is up to presenters to let you know if they do not allow pictures or recordings;</li> <li>• <b>Act professionally and responsibly</b> if you choose to drink when alcohol is available, or you use other legal intoxicants;</li> <li>• <b>Report concerns immediately</b> so that GSA can act quickly to address and resolve issues (see section D below for details on how to report concerns);</li> <li>• <b>Respect confidentiality</b> of the identities of any individuals involved in a conduct concern while it is being reviewed and addressed;</li> <li>• <b>Comply with requests to stop behavior.</b> If any GSA Leaders, GSA staff, session or field trip leader, or other person in a facilitation or leadership role asks you to stop a behavior deemed unacceptable; immediately and respectfully comply;</li> <li>• <b>Obey the rules and policies of the meeting venue,</b> hotels, GSA-contracted facility, or any other venue where your meeting badge and GSA affiliation is likely to be displayed.</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Intentionally talk over or interrupt others;</b></li> <li>• <b>Engage in conduct or make comments that are biased, demeaning, intimidating, coercive, or harassing/hostile,</b> whether seriously or in jest (examples include derogatory, exclusionary behaviors or comments toward others based on gender, sexual orientation, disability, physical appearance, body size, race, religion, national origin or any identity-based factors);</li> <li>• <b>Engage in personal attacks or bullying;</b></li> <li>• <b>Comment on personal appearance,</b> seriously or in jest, unless you know such comments are welcome;</li> <li>• <b>Display nudity and/or sexual images</b> in public spaces or presentations;</li> <li>• <b>Touch or make physical contact</b> with anyone unless you know such contact is welcome;</li> <li>• <b>Disrupt or engage in violence or abuse, threats of violence, harm, or threats of harm of any kind.</b> Do not create/contribute to a safety threat or unsafe or exclusionary situation. <i>Unless otherwise required by law, firearms, knives and other weapons are not allowed;</i></li> <li>• <b>Drink or use other legal intoxicants</b> to the extent that your ability to act professionally is compromised;</li> <li>• <b>Invade the personal privacy of individuals.</b> Do not view, take pictures of/record, or distribute pictures/recordings of individuals at times or in places where they reasonably can expect privacy (e.g., bathrooms, breastfeeding rooms, etc.);</li> <li>• <b>Take or distribute pictures or recordings without approval.</b> Presenters are responsible for making it known if pictures and recordings are not allowed;</li> <li>• <b>Retaliate against or disadvantage anyone for reporting a concern</b> or cooperating in an investigation. Do not make bad faith accusations.</li> </ul>

**Note About Differences of Opinion.** To achieve the purposes of a GSA Event in the limited time provided, discussions should be focused on the meeting topic at hand; all Participants must be able and welcome to participate equitably and effectively. It is not a violation of this Events Code to express an opinion, raise research,

or describe an experience that is at odds with the opinions of or is offensive to others, provided that such expressions are relevant to the topic at hand and are offered in a respectful, civil manner that does not interfere with others' reasonable ability to feel welcome and able to participate fully.

### C. Additional Conduct Requirements for Abstract Submitters

DO	DO NOT
<ul style="list-style-type: none"> <li>• <b>Submit abstracts in good faith</b> - If you submit an abstract for a GSA meeting, plan on presenting your research at the meeting</li> <li>• <b>Display scientific integrity</b> in disseminating your research.</li> <li>• <b>Adhere to the content and conclusions</b> of the abstracts you submit to GSA after they have been reviewed and accepted.</li> <li>• <b>Recognize co-authors</b> that have made bona fide contributions to your work provided they agree to be recognized</li> <li>• <b>Prepare a polished product</b> that conveys high quality scholarship.</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Submit an abstract unless you sincerely intend to attend the GSA meeting</b> to present your research</li> <li>• <b>List co-author without justification or approval</b> – co-authors must have made a bona fide contribution to your project and agree to be included;</li> <li>• <b>Deviate from your approved abstract</b> when making a presentation</li> <li>• <b>Plagiarize the work of others</b></li> </ul>

### D. What To Do If You Have Conduct Concerns

- Call 911 if you have an immediate and serious public safety concern.
- Act if there is a conduct concern. If you have a concern or are in distress or danger – or if you see anyone in distress or danger – let us know immediately by using any of these options:
  - Report - openly or anonymously – to our third-party hotline provider:
    - Internet: [geosociety.ethicspoint.com](http://geosociety.ethicspoint.com),
    - Phone: 844-237-8688, or
    - Mobile: [geosocietymobile.ethicspoint.com](http://geosocietymobile.ethicspoint.com).
  - Speak to a GSA staff member or RISE Liaison (look for anyone wearing a RISE pin).
  - Email [ethics@geosociety.org](mailto:ethics@geosociety.org) (or stop by the RISE office at GSA's annual meeting).

### E. Investigation Process and Consequences

GSA investigates all conduct concerns as promptly, thoroughly, and confidentially as possible in accordance with the following guidelines. GSA's ethics office (or another qualified individual designated by GSA's Executive Director) investigates conduct concerns. RISE Liaisons and GSA staff/volunteers who receive complaints are expected to notify the designated investigator promptly as they are not authorized to carry out investigations themselves. Complaints submitted to our third-party hotline provider are relayed to the ethics office automatically.

1. **Initial Assessment.** After learning of conduct concerns, GSA's ethics office or designated investigator conducts an initial review to determine what steps may be needed to keep our events safe. During this stage, GSA typically requires a written statement from the person making the report so that we can begin planning the investigation.
2. **Confidentiality & Anonymity.** GSA treats all conduct concerns as confidentially as possible, making a reasonable effort to protect the identity of anyone involved in the investigation process, including targets, accused individuals, and witnesses. GSA may determine that it needs to share information with others to carry out a full investigation, facilitate monitoring and oversight of our procedures,

and/or collaborate with other professional societies that host events at GSA meetings. In such cases, GSA will take care to limit what is shared and with whom on a strict need-to-know basis. GSA expects everyone involved in an investigation, regardless of their role, to respect the confidentiality of the process while the concerns are being reviewed and addressed.

Anyone who reports concerns to our third-party hotline provider may choose to remain anonymous. This system enables anonymous reporters to communicate with GSA during the course of the investigation without revealing who they are. See the [EthicsPoint FAQ](#) for additional information.

3. **Investigations.** GSA’s designated investigator gathers information needed to make findings of fact. Typically, this involves speaking to the identified target, the accused Participant, and any witnesses as well as reviewing any documents, photographs, or other relevant information. To ensure adequate due process, it is GSA’s policy to inform accused Participants of the allegations against them so that they have an opportunity to provide relevant facts.
4. **Notice to GSA Leaders for Decision-Making.** GSA’s Executive Director and President (“GSA Leaders”) are responsible for making decisions about potential Events Code violations. The investigator provides the GSA Leaders with pertinent details about the allegations and findings of fact so that they can make timely decisions about whether there have been Events Code violations and, if so, what consequences are appropriate. (See part 2 below for information on potential consequences.)
5. **Consequences that may be Imposed by GSA Leaders.** GSA’s Leaders have the sole discretion to make interim and final decisions about the steps needed to enforce this Events Code, considering what will serve GSA’s best interests and commitment to ensuring safe, respectful, inclusive scientific events.
  - **Interim Decisions.** GSA’s Leaders may decide that immediate action is necessary to ensure the safety of a GSA Event *before* an investigation can be completed. For example, upon receipt of credible allegations that an individual is engaging in disruptive, harassing, or biased behavior that is reasonably likely to make other Participants feel unsafe, GSA’s Leaders may require the accused Participant to leave a GSA Event immediately at the Participant’s own expense and without refund. In such a case, GSA would promptly notify the accused Participant and others directly involved of its decision, making it clear that:
    - GSA’s Leaders have not made a judgment that the accused Participant violated this Events Code, but rather they are prioritizing preventive safety and non-disruption measures;
    - GSA will conduct a fair, thorough investigation as soon as it is feasible to do so and that no final decisions will be made until that time;
    - Confidentiality is paramount, and GSA expects everyone involved to respect and maintain confidentiality of the identities of any individuals involved in this process.
  - **Final Decisions.** Once an investigation is complete, GSA’s Leaders will review the findings of fact to determine whether there has been a violation of this Events Code and, if so, what consequences are warranted. Depending on the situation, such consequences may include:
    - Requiring the accused Participant to leave a GSA Event at the Participant’s own cost, without refund;
    - Prohibiting the accused Participant from attending future GSA Events; and
    - Any other actions deemed necessary and appropriate.

Instead of or in addition to any of these consequences, GSA may with the consent of the parties pursue a restorative or other community-building action or remedy.

- **Notice of Final Decisions.** After making a final decision, GSA's Executive Director will notify the accused Participant and the identified target of the decision.

## **F. Recordkeeping**

GSA maintains confidential records of all complaints submitted under this Events Code and how they were handled to assist GSA in monitoring, evaluating, and improving the effectiveness of this Events Code.